



DJW
PATENT
5760-14800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gavriela D. Lavie and
Shiri Kerman-Hendell

Serial No. 10/736,999

Filed: December 16, 2003

For: Cause to Effect Methodology for
Monitoring Database Performance

§ Group Art Unit: 2171

§ Examiner: Unknown

§ Atty. Dkt. No. 5760-14800

§
§ I hereby certify that this correspondence is being deposited
with the U.S. Postal Service as First Class Mail in an envelope
addressed to: Commissioner for Patents, P.O. Box 1450,
§ Alexandria, VA 22313-1450, on the date indicated below:

B. Noel Kivlin
Registered Representative

5-17-05 Date Signature

§
§
§
§

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant requests consideration of the references listed on the attached Form PTO-1449 and/or the additional information identified below in paragraph 3.

- Pursuant to CFR §1.98(a)(2)(i), copies of the cited U.S. patents and/or U.S. patent application publications are not enclosed.
- A copy of each non-U.S. patent and/or non-U.S. patent application publication reference listed on the Form PTO-1449 is enclosed.
- The non-U.S. patent and/or non-U.S. patent application publication references listed on the Form PTO-1449 were previously cited in application serial no. _____ to which the captioned application is seeking priority under 35 U.S.C. §120; therefore, a copy of each reference is not enclosed.

1. This Information Disclosure Statement is submitted:

- a. within 3 months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
 within 3 months of the date of entry of the national stage as set forth in § 1.491 in an International application;

- before the mailing date of a first Office Action on the merits; or
 - before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- b. after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: the certification of paragraph 2 below is provided, or a fee of \$180.00 is enclosed.
- c. after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.
2. It is hereby certified:
- that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
 - that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.
3. Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:
4. For each non-English language reference listed on the attached Form PTO-1449:
- reference is made to an English language translation submitted herewith, and/or
 - reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
 - reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
 - reference is made to the concise explanation contained in the specification of the present application at page(s) _____, and/or
 - reference is made to the concise explanation set forth below:
5. Applicant also offers the following comments for the Examiner's consideration:
6. Also enclosed is a copy of a foreign search report citing these references.

7. The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.
8. Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 50-1505/5760-14800/BNK.

Respectfully submitted,



B. Noel Kivlin
Reg. No. 33,929
Attorney for Applicant(s)

MEYERTONS, HOOD, KIVLIN,
KOWERT & GOETZEL, P.C.
P. O. Box 398
Austin, Texas 78767
(512) 853-8800
Date: 5/17/05

Form PTO-1449 (modified) <i>P E</i> List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)		ATTY. DKT. NO. 5760-14800 APPLICANT: Lavie, et al FILING DATE: December 16, 2003		SERIAL NO. 10/736,999 GROUP: 2171			
U.S. PATENT DOCUMENTS							
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	6,801,903	October 5, 2004	Brown, et al.			
	A2	6,714,893	March 30, 2004	Busche, et al.			
	A3	6,836,800	December 28, 2004	Sweet, et al.			
	A4	6,012,152	January 4, 2000	Douik, et al.			
	A5	6,249,755	June 19, 2001	Yemini, et al.			
	A6	6,856,942	February 15, 2005	Garnett, et al.			
	A7	5,664,093	September 2, 1997	Barnett, et al.			
	A8	6,807,583	October 19, 2004	Hrischuk, et al.			
	A9	6,345,267	February 5, 2002	Lohman, et al.			
	A10	6,338,149	January 8, 2002	Ciccone, Jr., et al.			
FOREIGN PATENT DOCUMENTS							
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.